

# Investment Platforms



## Online Support for Your Platform Account



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# Why Open An Account?

## Advantages and Benefits

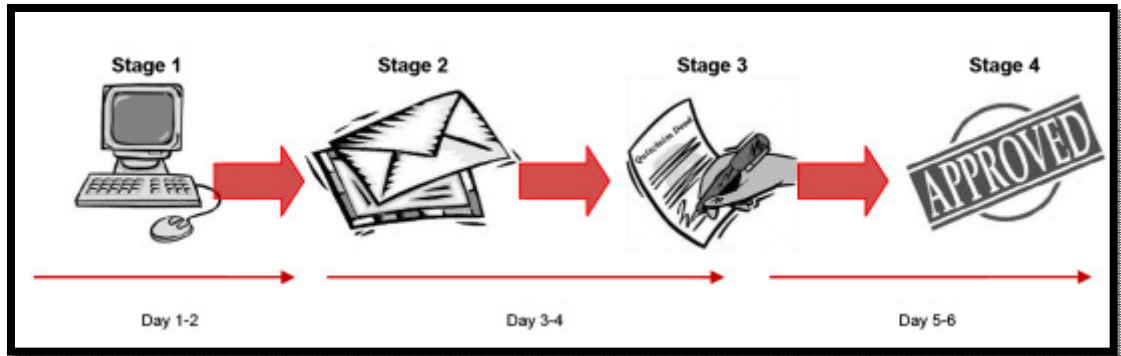
- ❑ We specialise in solutions which provide our clients with the highest quality of service.
- ❑ You receive access to an investment platform offering one of the largest independent Fund Ranges in the world; over 5000 offshore Mutual Funds from 200 global Fund Houses.
- ❑ All of our funds are supported by Live Independent Financial Information, provided by Morningstar. Because we present independent information you can be guaranteed all of our product information is entirely unbiased.

## Key Features

- ✓ Personal Account Area
  - ✓ Paperless Trading
  - ✓ Daily Reporting
  - ✓ Automated Monitoring
  - ✓ Watch List
- ✓ Emailed Monthly Statements
  - ✓ Planning Tools
- ✓ Up to date Market News
- ✓ Independent Research
- ✓ Transaction Tracker



# How to Open An Account



## Account Opening Documents:

These documents are available online or available upon request via the Contact Us page on Our website.

- ☐ Signed and dated Account Opening Application Form
- ☐ A copy of your Passport/ID Card displaying the holders signature and photo
- ☐ A completed Passport/ID Card Verification Letter
- ☐ Signature Card

Once we receive this information either via scanned copy or directly via the platform, we then issue you with your own User ID and Password. Your Account will now be live and ready for you to invest.

Please note that you will not be able to take cash from your account (cash disbursement) until we receive the original signed copies of the documents.



# Making a Cash Transfer

## Process

- ❑ Generate a Cash Transfer Order Form via Platform.
- ❑ This must be signed and taken to your bank for processing.
- ❑ Money is then sent from your Bank to your **Offshore Cash Account** with Banque de Luxembourg.

Transfer forms are located via the **Cash Transfer** option in the **My Holdings** tab.

The screenshot shows the 'Cash Transfer' section of a web platform. The 'My Holdings' tab is selected, and the 'Cash Transfer' option is highlighted. The interface asks 'Would you like to make a Cash Transfer or Cash Disbursement?' and offers two options: 'Cash Transfer - Credit Cash Account' (indicated by a green arrow) and 'Cash Disbursement' (indicated by an orange arrow). A form is displayed for the 'Cash Transfer' option, containing fields for 'Amount to transfer' (10000), 'Currency' (USD), and 'Password' (masked with dots). A 'Generate CT' button is at the bottom of the form.

- ❑ Choose the amount and currency you would like to transfer before entering your password. This generates a pre-filled order form, only requiring your signature before transporting to your bank.

- ❑ Your bank then sends this instruction to Banque de Luxembourg where it is finally added to your cash account.

- ❑ All transfer orders and stages are tracked online in the **My Account** tab.

Pending Transaction					
Platform only					
Cash Transfer Order					
	CT No	CT Amount	Stages		Ref Currency
Cash Transfer	CT021037	\$1,000.00	Stage 2	<a href="#">Print Forms</a>	£628.55



# Security & Confidentiality

## Security & Confidentiality with Banque de Luxembourg

- ❑ All Your Assets are custodised within Banque de Luxembourg (BdL)
- ❑ You receive a Multi- Currency Cash Account: GBP, USD, EUR, CHF, JPY, HKD, AUD, NZD & SGD.
- ❑ Banque de Luxembourg (BdL) established in 1920, now with 730 employees and Equity Capital in excess of EUR 527 millions. BdL is one of the largest Asset Managers in the Grand Duchy and is regulated by the Commission de Surveillance du Secteur Financier (CSSF).
- ❑ You also receive a Deposit guarantee, where you are protected by the Association pour la Garantie de Depot, Luxembourg (AGDL).



# My Account

## My Account

❑ As a customer, you can access your own personal area of the website by selecting [My Account](#) from the menu bar.

❑ My Account will become most users second homepage as it provides the platform from which you're able to perform a variety of functions and features.

- ✓ Shopping Basket
- ✓ Quick Search
- ✓ Live Statements
- ✓ Purchase Order Tables
- ✓ Fund Transaction Tables

Home

**My Account**

My Holdings

My Account

My Details

Change Password

Portfolio Bonds

QROPS

About Us

Reference Currency GBP

Account Summary		
Cash Received in Reference Currency	Total Account Value (Cash & Holdings)	%age Performance
£50,571.46	£54,078.61	6.94%

Cash Balances

Platform only

No Existing Cash Balance

Holdings

Platform only

EUR

GBP

Holdings Summary		EUR Amount
Total GBP Holdings	36,122.53	41,125.50
Total EUR Holdings	21,033.76	21,033.76

**Total Holdings: EUR 62,159.26**

Holdings Breakdown							
Date	Fund Name		Units	NAV	Value	Return	
Platform only							
31/03/10	Aberdeen GI Indian Equity Fd D2 Cap	GBP	357.10	63.60	23,764.88	15.82%	
06/04/10	RBS 16% Autocallable Note	EUR	14,000.00	104.74	14,081.20	0.58%	
10/06/10	Neptune Latin America Fund A Cap	GBP	9,661.96	1.23	12,357.65	16.06%	
27/09/10	Pioneer Inv Austria Gold Stock	EUR	175.00	39.54	6,952.56	1.84%	

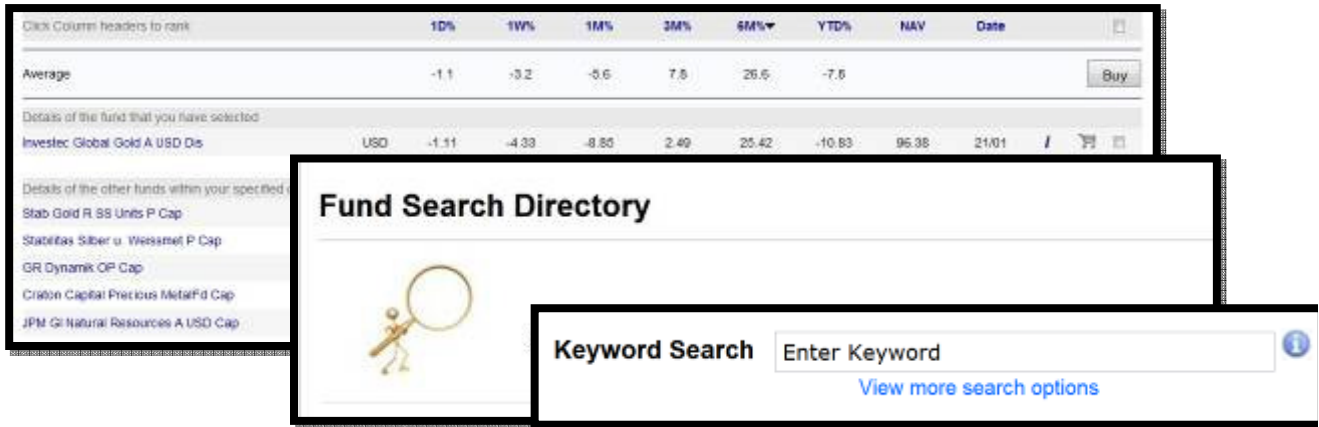




# Searching for Funds

## Keyword Search

Keyword Search allows you to explore and review our 5,000 fund range through specified search criteria and individual preferences.



The screenshot displays the 'Fund Search Directory' interface. At the top, there's a table with columns for various performance metrics: 1D%, 1W%, 1M%, 3M%, 6M%, YTD%, NAV, and Date. Below this, a section titled 'Details of the fund that you have selected' shows 'Investec Global Gold A USD Dis' with its corresponding values. A 'Buy' button is visible. Below this, 'Details of the other funds within your specified' lists several other funds like 'Stab Gold R SS Units P Cap', 'Statelias Silber o. Wessmet P Cap', 'GR Dynamik OP Cap', 'Criston Capital Precious MetalPd Cap', and 'JPM G Natural Resources A USD Cap'. A 'Fund Search Directory' box with a magnifying glass icon is overlaid, containing a 'Keyword Search' input field and a 'View more search options' link.

	1D%	1W%	1M%	3M%	6M%	YTD%	NAV	Date
Average	-1.1	-3.2	-5.6	7.8	26.6	-7.6		

Details of the fund that you have selected

	USD	1D%	1W%	1M%	3M%	6M%	YTD%	NAV	Date
Investec Global Gold A USD Dis		-1.11	-4.33	-8.85	2.49	25.42	-10.83	95.38	21/01

Details of the other funds within your specified

- Stab Gold R SS Units P Cap
- Statelias Silber o. Wessmet P Cap
- GR Dynamik OP Cap
- Criston Capital Precious MetalPd Cap
- JPM G Natural Resources A USD Cap

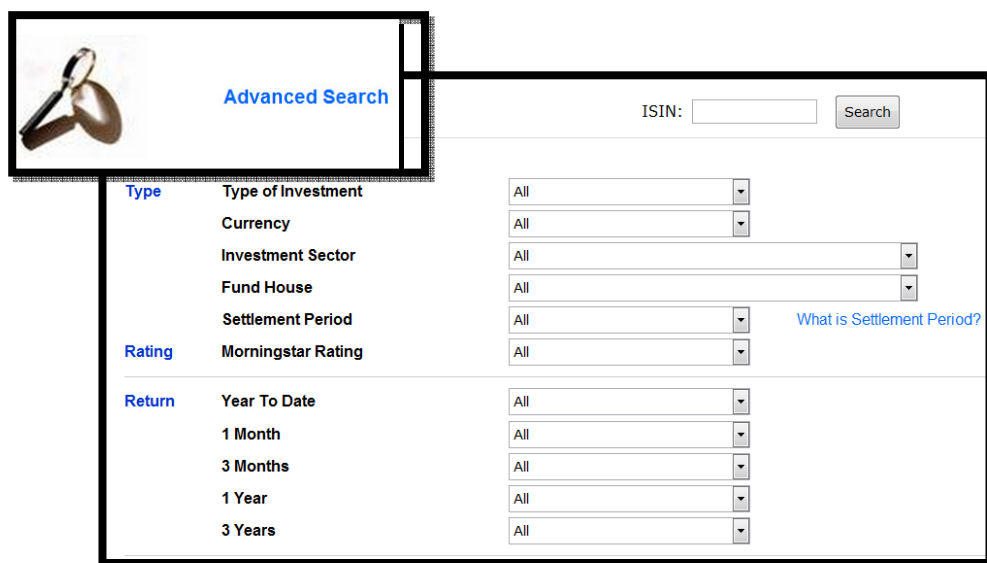
**Fund Search Directory**

Keyword Search  [View more search options](#)

## Advanced Search

Advanced search enables you to filter their investment criteria into a highly targeted search function to ensure that you find exactly what it is you are looking for.

Make a selection from 15 different search parameters. These range from: Investment Type, Rating, Fund Returns, Fund Portfolio Assets and Charges.



The screenshot shows the 'Advanced Search' form. It includes a search bar with 'ISIN:' and a 'Search' button. Below this, there are several filter sections: 'Type' (Type of Investment, Currency, Investment Sector, Fund House, Settlement Period), 'Rating' (Morningstar Rating), and 'Return' (Year To Date, 1 Month, 3 Months, 1 Year, 3 Years). Each filter has a dropdown menu. A link 'What is Settlement Period?' is also visible.

**Advanced Search**

ISIN:

**Type**

- Type of Investment:
- Currency:
- Investment Sector:
- Fund House:
- Settlement Period:  [What is Settlement Period?](#)

**Rating**

- Morningstar Rating:

**Return**

- Year To Date:
- 1 Month:
- 3 Months:
- 1 Year:
- 3 Years:





# Fund Information

## Results Table

- ❑ After performing a search, you will now be taken to a results table.
- ❑ Detailed fund information is provided and compared to other similar investments.
- ❑ Comparisons include Returns, Rating & Risk, Fees and Settlement Period.

Overview

Returns

Rating & Risk

Fees

Settlement

Details of the other funds within your spot

CS SICAV One TotRet Def Cap

Gold. S. Glb Em. Mkts Debt Portf

DWS DifferenzChance 2013 Cap

Stab Gold R SS Units P Cap

Baring GL Resources Fund USD

BGF World Mining Fund A2 Cap

BGF World Mining Fund A Cap

SGAM Fund Eq Global Resources Cap

Alquot Precious Metals USD D Dis

Alquot Gold Bullion A USD Dis

BGF World Mining Fund A UK Dis

JPM Global Natural Resources Fd A

JPM Global Natural Resources Fd T

BGF World Mining Fd A2 EUR Cap

Baring GL Resources Fund EUR

JPM Global Natural Ressources D Cap

DWS Gold Plus Fund Cap

Investec Global Gold A USD Dis

Smith & Williamson Glob Gd Res Cap

DUE FCP Gold & Ressourcen P Cap

Click Column headers to rank

1D%

1W%

USD	1.27	-3.62	-1.85	9.20	30.64	-3.51	82.61	26/01	i			
USD	-0.72	-3.44	-1.98	3.98	19.44	-3.88	125.48	25/01	i			
USD	-2.20	-2.20	-0.99	3.18	20.89	-4.27	2,129.83	21/01	i			
USD	-1.79	-1.79	-2.22	-2.92	10.14	-4.96	2,551.75	21/01	i			
GBP	0.73	-2.93	-4.81	8.97	27.53	-5.28	50.91	26/01	i			
EUR	0.51	-4.87	-4.83	12.33	29.67	-5.41	21.39	26/01	i			
EUR	0.52	-4.84	-4.81	12.33	29.66	-5.42	24.83	26/01	i			
EUR	0.97	-4.84	-8.16	10.77	23.71	-5.44	60.62	26/01	i			
EUR	-0.44	-6.09	-5.78	9.80	19.23	-5.46	22.61	26/01	i			
EUR	0.48	-4.87	-4.92	12.07	29.03	-5.51	16.72	26/01	i			
EUR	0.16	-4.33	-7.73	1.81	5.46	-0.58	1,592.41	26/01	i			
USD	3.21	-2.67	-7.14	1.33	25.20	-9.59	92.53	26/01	i			
GBP	-1.03	-4.44	-8.71	4.93	32.08	-11.08	4.19	26/01	i			
EUR	-0.78	-6.07	-8.95	1.37	14.19	-11.15	218.36	26/01	i			

## Fund Fact Sheet

- ❑ Clicking on an individual fund provides in-depth information including a 5 star rating system from Morningstar, an independent, unbiased financial data provider.
- ❑ A Fact Sheet accumulates all the key facts and figures regarding the investment, ensuring full informative support and service to your investment strategy.

Results Table

Overview

Total Returns

Rating & Risk

Portfolio

Management

Fact Sheet

Aliquot Gold Bullion A USD Dis

Fund Profile & Manager Information

Quick Stats

Fund Inception	30/12/2005	NAV	2,551.75
Net Assets(mil)	66.45 USD	Currency	USD
Morningstar Rating	★★★★★	ISIN	VGG0172R1055
Settlement Period	No Info	Cut Off	16:00:00 (GMT+1)
Tradable	Cash		
Morningstar Category	Sector Equity Precious Metals		



# Buying A Fund

**Purchase Confirmation**

Cash Balance (within 1 working day):

Currency	Amount
GBP	258,258.44

Purchase by Cash Value

Fund Name	Currency	Amount	Min Inv Amt	Risk Profile	Settlement Period	Buy Settlement
Aberdeen GI Emg Mkt Sm Comp D2 Cap	GBP	1000	1500	-	T+0	T+4
Smith & Williamson Glob Od Res Cap	GBP	5000	1000	-	T+0	T+4

\* Please see explanation of these terms below - it is important to have misundersanding at a later date.

How would you like to pay for this purchase?

\* Purchase using existing Cash Balance on platform

## Accessibility

Funds can be Purchased in a variety of ways including:

1. Results Table
2. Shopping Basket
3. My Portfolio

**Purchase Confirmation**

You have selected to make the following Purchase Order:

Fund Name	Currency	Amount	Settlement Period	Buy Settlement
Aberdeen GI Emg Mkt Sm Comp D2 Cap	GBP	1,000.00	T+0	T+4
Smith & Williamson Glob Od Res Cap	GBP	5,000.00	T+0	T+4

Password: \*\*\*\*\*

## Process

- ☐ Select the Fund to Purchase and click 'Buy'.
- ☐ The prospectus for the fund will then appear in PDF format.
- ☐ Enter the investment amount and select to make the fund purchase using either: Existing Cash Balance or by making a TT (Telegraphic Transfer) to transfer money to your Cash Account.
- ☐ The Purchase Table highlights the Base Currency of the Fund, Minimum Initial Purchase Amount, Risk Profile and Settlement Period.

## Confirmation

- ☐ A Purchase Confirmation screen then appears, listing the chosen fund and investment amount. After checking that the Purchase Information is correct, you are prompted to insert your Password as a final confirmation.

## TT Instructions

- ☐ If you select to Purchase by TT, the TT Instructions will then automatically print and are also emailed to you and your consultant for reference. The TT Instructions can then be taken to the your bank to facilitate the transfer.



# My Shopping Basket

Once you are logged in as a registered customer, you can access your Shopping Basket at any time via the My Portfolio tab.

Holding	NAV	1D%	1W%	1M%	3M%	6M%	YTD%	Date
<b>Fund Risk Profile: High</b>								
IQS Performance Fund Cap	895.88	USD	-	-	24.99	21.88	74.66	31/12
Earth Exploration Fund UI Dis	70.25	EUR	-2.23	-8.67	-7.09	18.43	51.28	25/01
Stabilas Silber u. Weissmet P Cap	54.30	EUR	-1.97	-12.21	-17.54	13.18	45.20	26/01
LO Funds World Gold Expertise Cap	34.18	USD	-1.06	-5.30	-9.47	-0.54	22.01	25/01
Craton Capital Precious MetalFd Cap	335.54	USD	-2.32	-8.22	-10.71	8.19	44.06	25/01
JPM Global Natural Resources Fd T	24.83	EUR	0.52	-4.84	-4.81	12.33	29.66	26/01
GR Dynamik OP Cap	57.97	EUR	-1.69	-9.94	-9.73	28.59	48.80	26/01
JPM Global Natural Resources Fd A	21.39	EUR	0.51	-4.87	-4.83	12.33	29.67	26/01
Stabilas Pacif Gold Metals P Cap	151.33	EUR	-1.15	-7.32	-11.19	4.06	30.35	26/01
Stab Gold R SS Units P Cap	80.20	EUR	-1.36	-5.33	2.10	16.51	58.89	26/01
<b>Fund Risk Profile: Moderate</b>								
Aberdeen GI Emg Mkt Sm Comp D2 Cap	10.35	GBP	-0.19	-1.53	-3.82	1.18	16.59	26/01
Smith & Williamson Glob Gd Res Cap	4.19	GBP	-1.03	-4.44	-8.71	4.93	32.08	26/01
BGF World Gold Fd A2 EUR Cap	43.34	EUR	0.32	-6.47	-13.39	-1.96	7.36	26/01
NOAH-Mix OP	64.38	EUR	-1.71	-11.00	-14.20	10.47	30.99	26/01
<b>Fund Risk Profile: Low</b>								
Alquot Precious Metals USD D Dis	2,129.83	USD	-2.20	-2.20	-0.99	3.18	20.89	21/01
Alquot Gold Bullion A USD Dis	2,551.75	USD	-1.79	-1.79	-2.22	-2.92	10.14	21/01

Regular online shoppers will be familiar with the 'Shopping Basket' concept. Compile and compare a list of selective investments that interest you.

All of the financial information within the Shopping Basket is updated daily, allowing you to make informed and well founded investment decisions.

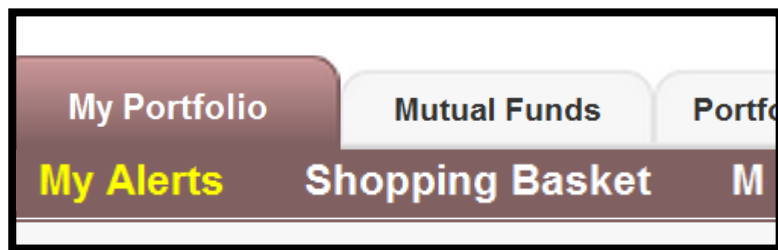
Keep track of funds which you are interested in.

Set pre-determined Alerts on selected funds.

Get informed and reminded of fund performance and patterns using 'My Alerts', assisting you to make those critical buy and sell decisions.



# My Alerts



- ☐ You have 24/7 access to your account where you can view live valuations.
- ☐ All Assets are monitored by My Alerts, providing automated email notifications when pre-set gain and fall % targets have been met.
- ☐ You choose the boundaries to ensure complete control and awareness of your investments and holdings.

Fund Search
Shopping Basket
My Alerts
Returns
Rating & Risk
Fees
Settlement

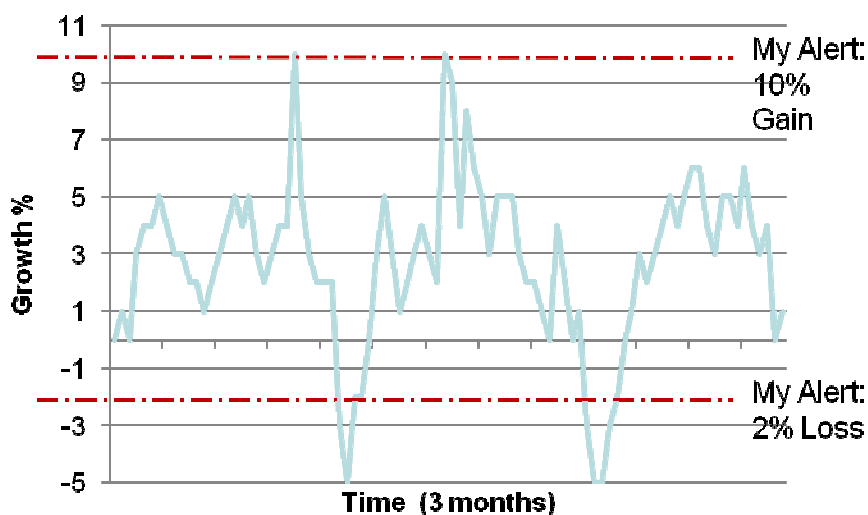
Fund Name		Start Date▲
JPM Global Natural Resources Fd A	EUR	25/01/11
Baring GL Resources Fund EUR	EUR	25/01/11

% Return	Gain Target	Fall Target
-	10	-2
-	10	-2

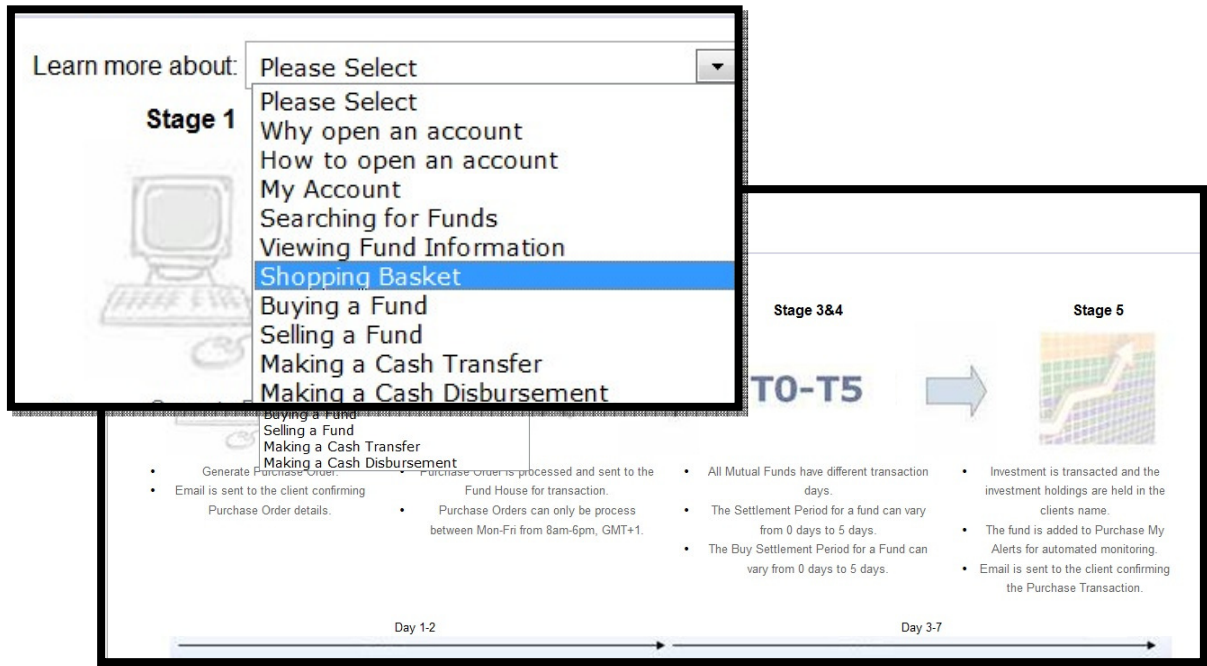
Submit

## Make the Most of Your Investments

- ☐ Some Fund Houses only supply annual/quarterly statements on holdings. The My Alerts Function monitors and informs you electronically by email so that you will never miss an opportunity to buy/sell your investments.



# Further Online Support



## How-To-Guide

A comprehensive “how-to-guide” is available on the platform from the quick link tab in the right hand side tool box. This will be provide further support and help in regard to all platform features and functions.

- ☐ How to Open An Account
- ☐ My Account
- ☐ Fund Search
- ☐ Viewing Fund Information
- ☐ Buying A Fund
- ☐ Selling A Fund
- ☐ Making A Cash Transfer
- ☐ Making A Cash Transfer
- ☐ Shopping Basket
- ☐ My Alerts
- ☐ Monthly Statements

An online FAQ section is also included in the “Contact Us” tab to help answer any of your questions.

